

City of New London Office of the Mayor

181 State Street • New London, CT 06320 • Phone (860) 447-5201 • Fax (860) 447-7971

R01-18-R-001

November 14, 2017

Mr. Frank Gardner
EPA Region 1
5 Post Office Square, Suite 100
Boston, MA 02109-3912
Phone: (617) 918-1278

RE: City of New London
FY18 Brownfields Revolving Loan Fund Grant Application

Dear Mr. Gardner:

The City of New London is requesting \$800,000 in USEPA Revolving Loan Funds for a community-wide effort to capitalize a revolving loan fund to provide property owners in the city with loans and sub grants to carry out clean-up activities at Brownfield sites.

- a. Applicant Identification:
 - City of New London
 - Office of Development and Planning
 - 181 State Street
 - New London, CT 06320
- b. DUNS # 602256083
- c. Funding Requested:
 - i) Grant Type: Revolving Loan Fund
 - ii) Federal Funds Requested: \$800,000
 - iii) Contamination: Hazardous Substances and Petroleum
- d. Location: City of New London, New London County, Connecticut
- e. Contacts:
 - Project Director: Tom Bombria – Community Development Coordinator
 - Office of Development and Planning
 - 181 State Street
 - New London, CT 06320
 - Phone: (860)437-6346
 - Fax: (860)447-7971
 - Email: tbombria@ci.new-london.ct.us
 - Chief Executive: Mayor
 - Michael Passero
 - 181 State Street
 - New London, CT 06320
 - Phone: (860)447-5201
 - Fax: (860)447-7971
 - Email: mpassero@ci.new-london.ct.us

- f. Date Submitted: November 16, 2017
- g. Project Period: Five Years
- h. Population: 27,617 (U.S. Census Bureau 2010)
- i. Other Factors Checklist

Please contact Tom Bombria, Community Development Coordinator, at 860-437-6346 if you have any questions regarding this proposal.

We look forward to hearing from you regarding our application.

Sincerely,

A handwritten signature in black ink, appearing to read 'Michael E. Passero', written over a horizontal line.

Michael E. Passero
Mayor, City of New London

Appendix 3 RLF Other Factors Checklist

Name of Applicant: City of New London

Please identify (with an **x**) which, if any of the below items apply to your community or your project as described in your proposal. To be considered for an Other Factor, you must include the page number where each applicable factor is discussed in your proposal. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal or in any other attachments, it will not be considered during the selection process.

Other Factor	Page #
<i>None of the Other Factors are applicable.</i>	
Community population is 10,000 or less.	
The jurisdiction is located within, or includes, a county experiencing "persistent poverty" where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.	
Applicant is, or will assist, a federally recognized Indian tribe or United States territory.	
Target brownfield sites are impacted by mine-scarred land.	
Applicant demonstrates firm leveraging commitments for facilitating brownfield project completion, by identifying in the proposal the amounts and contributors of resources and including documentation that ties directly to the project.	x 8
Applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.	



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Affirmative Action/Equal Opportunity Employer

October 30, 2017

Mr. Tom Bombria
City of New London
Office of Development & Planning
181 State St.
New London, CT 06320

Re: State Acknowledgement Letter for EPA Brownfields Cleanup Grant for FY 18

Dear Mr. Bombria:

The Connecticut Department of Energy and Environmental Protection acknowledges that the City of New London intends to apply for a US EPA brownfields grant to establish a revolving loan fund and conduct cleanup activities.

Cleanup work funded by an EPA grant must be performed in one of Connecticut's formal remediation programs, including among others the Voluntary Remediation program pursuant to CGS § 22a-133x, the Property Transfer Program, (if applicable) pursuant to CGS §22a-134, the Urban Sites Remedial Action Program pursuant to CGS §22a-133m, or the Brownfields Remediation and Revitalization Program pursuant to CGS §32-769.

You may want to refer to DEEP's PREPARED Municipal Workbook. This on-line guidebook is designed to help municipalities navigate the complex process of remediating and redeveloping brownfields. The Workbook is available on our web site at http://www.ct.gov/deep/cwp/view.asp?a=2715&q=555770&deepNav_GID=1626.

If you have any questions about this letter, please contact me at (860) 424-3768 or by e-mail at mark.lewis@ct.gov. Good luck with your application.

Sincerely,

A handwritten signature in blue ink that reads "Mark R. Lewis".

Mark R. Lewis
Brownfields Coordinator
Office of Constituent Affairs & Land Management

c: Ms. Dorrie Paar, EPA (via e-mail)

City of New London
FY18 EPA Brownfields Revolving Loan Fund Grant Narrative

1. Community Need

1.a. Target Area and Brownfields

1.a.i. Community and Target Area descriptions

The historic port City of New London has the demographic characteristics and many of the problems of larger urban areas of equivalent density despite its small size. It is a Community Development Block Grant **Entitlement Community** and is ranked as **Connecticut's most distressed community in the state for the last two years**. Ranking criteria is based on unemployment, per capita income, poverty rates, aging housing stock, and low or declining rates of growth in job creation.

New London is a small city, both in population (27,614) according to the US Census Bureau (2010) and in land area. (5.67 square miles). At 5,022 persons per square mile, New London is the 6th most densely populated city in Connecticut and New London is 8th in the State with 19.90% Affordable Housing (CT 2012 Affordable Housing Appeals List).

New London's demographics demonstrate diversity in every section of the City, as low and very-low income families are sandwiched between million dollar homes and industrial complexes. Implementation of the FY18 EPA RLF will be city wide with a primary target area of the downtown business district (census tract 6905). To advance economic development opportunities, it is important for this program to focus on the downtown area because of its critical relationship to the community, and its need for commercial revitalization. This area primarily includes mixed-use retail business, restaurants and amenities, as well as high density housing, where a majority of elderly and low-income and moderate-income families reside.

Downtown New London, the historic comenocemercial center of the City, is comprised of 140 buildings of which 20 are totally vacant, consisting of nearly 300,000 sq. ft. In addition, many more buildings are under 50% occupancy, predominantly vacant in the upper stories. Prior to the 1970's, these historic buildings were largely occupied with department stores and other business offices. Other properties were residential boarding and apartment buildings. The vacancy rate in the central business district rose as retailers and professional offices moved to more modern facilities, including suburban shopping centers, malls, and office parks. Because most of the buildings were built prior to the 1940's, materials used in the construction of these buildings are now considered hazardous materials and present special remediation and disposal problems. Unlike residential properties, the City's assistance efforts to address these environmental issues have not been able to keep up with the rising cost of redevelopment. As a result, the historic commercial center of New London's downtown today remains an economically challenged and depressed area.

1.a.ii. Demographic Information and Indicators of Need

The table below presents demographic and socio-economic characteristics of the City in comparison to the State of Connecticut and National Averages. These statistics demonstrate that New London residents typically face significant economic challenges; 50% to 80% more as compared to the state conditions in some categories, with current unemployment rates in the target Census Tract double those of the State and National rates. Median household and median family incomes are significantly below the City, State, and National levels.

Statistic	Targeted Area Census Tract 6905	City of New London	State of Connecticut	National
Population:	2,827	27,423	3,593,222	316,515,021
Unemployment:	9.3%	8.1%	5.9%	5.2%
Poverty Rate for Families:	51.2%	27.8%	7.6%	11.3%
Percent Minority:	50.3%	41.0%	22.7%	37.8%
Median Household Income:	\$20,091	\$36,250	\$70,331	\$53,889

Housing Units:	1,737	12,254	1,491,786	133,351,840
Median Family Income:	\$21,875	\$45,244	\$89,031	\$66,011
Per Capita Income:	\$21,933	\$21,736	\$38,803	\$28,930
% Owner Occupied Housing Units	10.8%	35.2%	67%	63.9%
% Renter Occupied Housing Units:	89.2%	64.8%	33%	36.1%
Median Year Structure Built:	1939	1939	1963	1975

2015 American Community Survey <http://www.census.gov/newsroom/releases/archives/income>

1.a.iii. Description of Brownfields

Abandoned and underutilized properties abound in and around downtown New London. Reuse and redevelopment is challenged by the existence of environmental contamination. The vast majority of these buildings are vacant and or underutilized because of the extraordinary cost of rehabilitation primarily due to the costs associated with the assessment and remediation of environmental conditions and to the demanding building, fire, health, and safety codes that would be enacted before the properties can become occupied again. In addition, commercial lending institutions regularly require full building inspections prior to approving purchase and construction loans, and inspectors often encounter lead paint, asbestos, mold, and PCBs, and sometimes leaking or abandoned oil tanks, particularly in long-standing vacant properties.

New London's many Brownfields sites have been identified by formal and informal surveys. The City's Brownfields Assessment Program database, developed by the FY2009 USEPA Brownfields Assessment Grant in conjunction with the City's GIS department, lists 54 properties including 15 State or Federally listed Brownfields sites, seven CERCLIS sites, and 25 "State" hazardous waste sites. Additionally, there are 140 buildings in our historic commercial center that may need lead and asbestos remediation.

Various available and underutilized Brownfields sites in the downtown historic district include the Capitol Theater, vacant for over 30 years but once the center of downtown activities. Another is a vacant 2.5 acre city-owned parcel with documented contamination known as Parcel J, left over from extensive demolitions as a result of efforts at "Urban Renewal." This property has good highway access to Interstate 95 and is a very short distance from the railroad and State Pier. Several abandoned or underutilized Brownfields properties are located within densely populated residential areas in the heart of the city. A one acre abandoned site on Hempstead Street is also located in a residential neighborhood and has remained undeveloped due to environmental issues associated with a former factory on the site. The local organized neighborhood association has vigilantly promoted efforts to see that a portion of the land is developed into a community park.

Continuing efforts at environmental assessments and remediation are important for future redevelopment and to have these properties contributing to the tax base after lengthy absences.

1.b. Welfare, Environmental, and Public Health Impacts

1.b.i Welfare Impacts

New London has outdated infrastructure, and is the home to most area-wide social service agencies. Despite its small size, New London has similar density and many of the same urban issues as larger municipalities. Due to these challenges, the City has significant environmental, economic, health and social issues. Urban communities can be significantly impacted in the areas of public health and community development due to the stress-effects of density living on individuals and families. Deteriorated housing, including Public Housing designated as substandard by HUD, exacerbates high poverty and unemployment. The social environment, where individuals and families see large disparities in socioeconomic status, higher rates of crime and violence, will also influence the physical, living environment with lasting outcomes.

Vacant and unused property can be considered an “attractive nuisance” to some and so pose as a physical health hazard due to unsafe structures, and as a health hazard due to exposure to toxic chemicals.

1.b.ii. Cumulative Environmental Issues

As the historic regional center of Southeastern Connecticut, New London has served as a focal point for industry since the industrial revolution of the late eighteenth and early nineteenth centuries. The legacies of this role have been the contaminated soils and disinvestment common to many urban areas. The median year of construction for New London’s buildings is 1939, which would point to earlier building codes and the unrestricted use of lead paints and asbestos insulation in most dwellings of that era. Another problem encountered during renovation or demolition is the discovery contaminated urban fill, and or abandoned oil and chemical tanks. Like many other older cities with limited developable land, virtually all parcels available for development or redevelopment in New London have these environmental issues making assessment and cleanup of Brownfields a part of every economic development activity.

According to the 2009 ACS data and the application of estimated lead paint hazard occurrence percentages based on the year the structure was built, approximately 4,182 occupied housing units in New London may contain lead paint hazards. Of these units, it is estimated that 1,854 units (44.3%) are owner-occupied and 2,328 (55.7%) are renter-occupied. The 4,182 estimated housing units represent approximately 40.4% of the total occupied housing units in the City. Approximately 1,530 housing units in New London that were built before 1978 are occupied by families with at least one child under the age of six, which represents the population most susceptible to health issues associated with lead paint.

A horizon of contaminated “urban fill” containing coal ash, semi-volatiles, and heavy metals at concentrations above regulatory criteria exists across most current and former commercial and industrial properties. The fill areas are often located directly adjacent to, and in some areas, includes occupied residential homes. Those living near Brownfields are potentially exposed to uncontrolled contaminated dust emissions, untreated/contaminated storm water run-off, and possible organic vapor intrusion.

The median year of construction for New London’s buildings is 1939, which would point to earlier building codes and the unrestricted use of lead paints and asbestos insulation in most dwellings of that era.

1.b.iii. Cumulative Public Health Impacts

Exposure to harmful substances in the environment result in adverse health effects such as asthma and high blood lead levels. While there are no specific health studies available for the targeted community that definitively tie the presence of Brownfields-related contaminants to area health related problems, many studies have, however, demonstrated disproportionately higher incidences of health issues among minority populations who are typically economically challenged and residing in areas with an older housing stock, higher percentages of rental properties, and a disproportionate number of Brownfields such as the New London Community. Chronic diseases, such as asthma, are noticeably prevalent in the urban setting, and these conditions are exacerbated by the presence of brownfields and living conditions with unmitigated hazards. New London is also divided by the Interstate 95 transportation corridor, which is the main highway between New York City and Boston and is a congested thoroughway. High traffic areas are known to produce emissions that can exacerbate chronic lung conditions, as well as diminish livability for populations in close proximity.

Data contained in A Community Health Assessment for New London County, Connecticut (May 2007) prepared for the Ledge Light Health District, demonstrates that New London residents have disproportionately more health-related problems and lack of access to health care, primarily as a result of socio-economic status/ethnicity. Updated 2014 census data indicates 41.4% racial minority in the targeted areas and 26% Hispanic or Latino.

Studies show that while New London has a similar prevalence of adult asthma to the state, it has higher incidence and mortality rates of lung cancer in females, and higher hospital admission rates for pneumonia and Chronic Obstructive Pulmonary Disease (COPD). Residents over 65 years also are less likely to receive an annual flu shot or a lifetime pneumococcal shot than residents throughout the state. Updated 2014 census data indicates that 21.3% in the targeted Census Tract do not have health insurance coverage and are therefore much less likely to seek preventative care (2010-2014 American Community Survey 5-Year Estimates). In addition, over 26% of residents are without a regular source of care, and increasing the likelihood of emergency room visits and subsequent admission. Single parent households, are especially disproportionate in numbers among poor and minority populations such as those living in New London.

The prevalence rate, representing the total rate of disease in children under the age of 6 with a confirmed blood lead level ≥ 10 $\mu\text{g/dL}$ is 1.5% compared to the statewide prevalence rate of 0.9%. Data indicate the disproportional risk of lead poisoning to New London's children compared to the total at risk population of Connecticut.

Overall, the presence of Brownfields sites cannot scientifically and conclusively be related to disproportionate health impacts in New London without a statistical and logical assumption. National data clearly demonstrates the economic and social welfare impacts of Brownfields, especially those within and along residential neighborhoods.

1.c. Financial Need

1.c.i. Economic Conditions

The city's aging housing stock, high levels of poverty and limited tax base represent significant barriers to economic stability. New London continues to be Connecticut's **most distressed city** based on per capita income, high poverty rate

With the economy in a significant downturn, housing values have dropped, and many families have lost their homes due to the foreclosure crisis. Connecticut is among 10 states throughout the country in 2013 that had an annual increase in residential properties facing foreclosure, up 20%, compared to a 26% decline nationally. The state ranked seventh-highest in the nation in residential properties with foreclosure filings. In New London, 54% of all property is tax-exempt largely due to the presence of nontaxable public and nonprofit institutions, churches, and agencies. Because of this, it is necessary for all suitable parcels to be used for tax generating economic activity that will thereby improve services and provide tax relief for citizens. As such, properties that have been acquired by the City or its redevelopment agencies due to blighted conditions and every abandoned, and or underutilized property represent a significant loss in tax revenue. Even with recent City tax increases, revenues are at a level that results in having to trim already tight budgets.

An Adaptive Reuse Study done in 2011 included a feasibility study to determine the highest and best potential reuse of the existing buildings in downtown. Based on the likely value upon completion of comprehensive development/renovations the project was determined to not be economically viable as a market driven investment. Tax credits, brownfields grants and City business incentives would be necessary to help subsidize any potential reuse.

Additionally, sources of funding to support at risk populations have been reduced or eliminated in the last three years. Community Development Block Grant (CDBG) funding has been cut by over 30% over the last five fiscal years. These cuts have reduced our ability to support public services and public facility improvements that help the underserved in the community. This presents a clear economic need for support of efforts that will enhance the quality of life for New London residents. Fiscal conditions and population size limit the ability to leverage funds: In nine of the past ten years, the City Council has been forced to cut positions, lay off staff, raise taxes and borrow from Fund Balance to make up for budget shortfalls. Therefore, it is necessary for all suitable parcels to be used for tax generating economic activity that will thereby improve services and provide tax relief for citizens.

1.c.ii. Economic Effects of Brownfields

The City's low tax base can relate directly to limited taxable land, and the lack of job growth to little private investment. Potential hazardous substances at vacant land and underutilized property are contributing factors to the lack of interest in private investment. Attracting and expanding businesses is difficult in a city with blighted neighborhoods.

The presence of Brownfields properties, such as the vacant Howard and Hamilton Street site containing a homeless compound, the vacant Parcel J located along a busy thoroughfare, and the fenced off and dilapidated Minor and Alexander Lumber yard result in a sense of urban despair and community disinvestment. Remediation of ongoing dumping activities and brush clearing of overgrown lots, are a burden on municipal services. Continuing efforts at environmental assessments and remediation are important for future redevelopment, and the major expense for brownfield cleanup is currently beyond the financial capability of the City's budget. Without incentives, these properties will continue to have negative impacts on the environment, health, and vitality of New London.

2. Program Description and Feasibility of Success

2.a. RLF Program Description and Marketing Strategy:

2.a.i. Program Description

The City is seeking \$800,000 in RLF grant funds, which will be matched with a 20% cost share of \$160,000 for a total budget of \$960,000. Utilizing \$700,000 from the RLF Grant the City will establish a Loan and Grant Program available to land and or property owners with vacant or underutilized property "Community Wide" with a target area in the Downtown New London Central Business District requiring the remediation of hazardous substances and petroleum. This proposal allocates 50% of RLF funds to the loan pool (\$400,000) and 37.5% of funds to the grant pool (\$300,000).

Properties will include mixed use businesses in order to safely clean up and remove hazardous substances such as lead and asbestos from an aged stock of buildings and properties, and petroleum hazards from abandoned or leaking oil tanks. The RLF program will consider and embrace the concepts and goals of community and economic development planning documents and recent outreach feedback, and will continue to engage the public and key municipal officials in the process. The City's Brownfields Programs commitment to support local businesses is in alignment with concerted efforts by regional and State partners. The Southeastern Connecticut Council of Governments, the Southeastern Connecticut Enterprise Region, State Department of Economic and Community Development and the City of New London have all collaborated to commit resources on planning efforts that include the revitalization and reuse of existing structures. Since historic properties may be impacted requirements of the National Historic Preservation Act will be followed with review by the local Historic District Commission and the State Historic Preservation Office.

The Office of Development and Planning (ODP) staff, along with the City's Purchasing Agent and Finance Department, all have the expertise and experience to manage programs, employees, contracts, contractors, vendors, grants, and revolving loan funds to successfully manage the EPA RLF Grant. The Community Development Coordinator as the current EPA Assessment Grant Project Manager will continue to function as the RLF Program Manager. Essentially, the same staff that worked together on the Assessment Grant and that provides management, loan eligibility and loan facilitation, accounting and reporting oversight on several HUD, EPA, and Connecticut Department of Economic and Community Development (DECD) managed grant programs will continue to support this new RLF Grant. The City will rely on its own Director of Law for legal support. Marketing and promoting the program will be through in-house staff. A Qualified Licensed Environmental Professional Contractor (LEP) will be contracted for direct clean up activity oversight. Program staff and team members are detailed in

the Programmatic Capability section. Experienced staff from community partner RCDA will support all aspects of program design and implementation.

It is proposed that RLF funds will contribute or complete remediation clean-up for at least 3 vacant properties, and provide support for hazardous materials remediation at 10 to fifteen properties. At least five properties have existing hazardous building surveys completed, generating a waiting list of great candidates to allow the program to start immediately. Any appropriate Brownfields Assessment work will be updated prior to any remediation work.

The City's Office of Development and Planning Business Revolving Loan Fund Committee will develop specific criteria and establish a rating system to help differentiate competing applicants, and to develop terms and conditions of the loans and grants. The existing City financial infrastructure and institutional experience will assure prudent and reasonable lending practices and compliance with City and EPA legal and filing requirements.

Some applicants with the most viable projects may be eligible for a combination a loan and partial grant. To assist in making the project viable, loan payments will be deferred until remediation is complete and there will be no pre-payment penalties. A property lien will be placed on property records to protect the City and EPA's investment.

The City and State support a variety of incentive programs to assist new and existing business and local property owners. An array of programs offering tax credits, tax exemptions, low interest loans, and grants for building facades and storefronts and building improvements is indicative of New London's commitment to support economic development.

The City RLF program may be sustained throughout the cooperative agreement and beyond as loan payments are received. CT DECD Brownfields Program Grants, CDBG, HUD, and EPA supplemental RLF funds will continue to be solicited and infused to perpetuate the assessment and remediation of brownfields to continue adaptive reuse of affected land and property.

2.a.ii. Marketing Strategy

The City Economic Development Coordinator maintains a database of commercial property owners, and will help promote the RLF program along with a package of property improvement incentive programs, and will work with the City Center District, and New London Main Street Organizations to directly inform its members. Regional partners Southeastern Connecticut Council of Governments (SECCOG) and Southeastern Connecticut Enterprise Region (seCTer) will also be key to help promote interest in the program and to solicit potential applicants. Targeted efforts will be made to those properties recently or previously assessed through the City Brownfields Database, and listed properties contained in downtown building inventories. The City will develop presentation and hand out materials and market through web sites, social media, advertisements, and signage. Staff and the Contractor will make presentations at local business group meetings and appear on local access TV. The Project and its progress will be updated on the New London web site, at Community Outreach meetings, and in the local newspapers.

2.b. Task Description and Budget Table

2.b.i. Task Descriptions

Task 1: Cooperative Agreement Oversight will be provided through the City Cost Share. Program Manager and the City Director of Law will work together with the EPA to develop the cooperative agreement between the two parties. Staff time will then be dedicated by the Program Manager and the Purchasing Agent and other staff to conduct the bidding process and write the contract with the selected contractor. The Program Manager will also be responsible for completing quarterly reports and ensuring the project schedule and goals are being met in accordance with the agreement and entering site cleanup information in to the USEPA ACRES database. An output tracking spreadsheet report will be created and charted on a monthly basis throughout the grant period. It will focus on project milestones including contract bidding and awards, and inputting contacts and meetings with Brownfields development prospects and Brownfields property owners. This output report will be expanded to an annual report that

summarizes the Brownfields process from inventory to developed site while tracking the number of sites assessed, remediated, and developed under the program, as well as, the impact on the tax base and the number of jobs created.

Travel funds are set aside for the Program Manager to travel to Brownfields training and two Brownfields Conferences in the five-year term of the grant. Supplies in the form of document copies are anticipated for the contract and correspondence. Printing supplies will be covered as needed. Staff will also provide accounting support.

Task 2: Community Outreach and Marketing will be provided by City Cost Share. ODP staff will work on advertisements and promotions to inform the community of the availability of the RLF program funds. This activity **outputs** will include advertising, community outreach, and **3-5 public informational meetings** during the grant period. The Economic Development Coordinator and City Center District will directly promote the program to property owners and continue to solicit potential development. Supplies in the form of map production, flyers, document copies and printing are anticipated for the Community Outreach and Marketing Process. These efforts will educate the public and result in a sufficient pool of applicants to process for review.

Task 3: Loans and Sub grants of \$700,000 will include direct support to eligible property owners through loans and/or sub grants for cleanup activities. City cost share will cover ODP staff to process applications and to manage the loan/grant processes. A committee will review all apps and dictate terms and conditions. At least 50% of the RLF funds will be dedicated for loans and approximately 37.5% will go to selected property owners as grants.

Task 4: Clean Up Activities Oversight will be with funds from EPA RLF by a Qualified Environmental Professional/Contractor that will be selected through a competitive bidding process to help city staff perform clean up oversight. Clean up and remediation will take place in accordance with one of Connecticut DEEP's formal remediation programs. All documents generated in association with the investigation and remediation of the site will be submitted to the CTDEEP under the VRP site identity. **Outputs** include Asbestos and Lead surveys, and remediation plans, and removal and clearances will be conducted by licensed professionals and in accordance with State and Federal guidelines. Cost share funds will contribute to any additional hazardous materials survey and clearances as needed. Up to three vacant properties will be remediated and 10-15 buildings cleared of hazards.

2.b.ii. Budget Table

Funding Type	Budget Categories	Program Tasks (\$) (programmatic costs only)				
		[Task 1] Cooperative agreement oversight	[Task 2] Community Outreach & Marketing	[Task 3] Loans & Sub grants	[Task 4] Clean up activities oversight	Total
Hazardous Substance Funds (HS)	Personnel					
	Fringe Benefits					
	Travel					
	Supplies					
	Contractual				75,000	75,000
	Loans (37.5%)			300,000		300,000
	Subgrants (37.5%)			300,000		300,000
	Subtotal:			600,000	75,000	675,000

Petroleum Funds (P)	Personnel					
	Fringe Benefits					
	Travel					
	Supplies					
	Contractual				25,000	25,000
	Loans (12.5%)			100,000		100,000
	Subgrants					
	Subtotal:			100,000	25,000	125,000
Fed. Funding Subtotal (HS + P)				700,000	100,000	800,000
Cost Share		90,400	14,000	20,000	35,600	160,000
Total Budget		90,400	14,000	720,000	135,600	960,000

20% Cost Share of \$160,000 from \$110,000 in kind personnel from the City plus \$50,000 cash from city resources (\$20,000 from Housing Community Fund, \$30,000 from CDBG):

Task 1: \$80,000 in Personnel in-kind oversight plus \$6,400 in Travel plus \$4000 in supplies

Task 2: \$10,000 in Personnel in-kind plus \$4,000 in supplies

Task 3: \$20,000 in Personnel in-kind for financial management

Task 4: \$35,600 in additional subcontracted Hazardous Materials inspection and clearances

2.c. Ability to Leverage

The resources of various City departments, committed community partners, and other available grants to support and leverage the proposed project activities will be available within and beyond the grant period. The City is currently managing an EPA FY14 Assessment grant, and Co-Managing an EPA Clean up grant with the RCDA, which increases New London's ability to build on the successes of the previous. The City cost share will be used to provide additional hazardous Building Materials Surveys and clearances to downtown property owners in order for them to become eligible and ready to take advantage of this RLF grant.

Table of Leveraging Resources

Source	Purpose/Role	Amount(\$)	Status
City of New London Personnel	Cost share match of In-Kind Services for Cooperative Agreement Oversight, Community Outreach & Marketing, and managing loans & grants	\$110,000	Secured (see attached letter)
New London Housing Community Fund, and CDBG Special Project	Cost share cash match for travel & supplies, and additional Hazardous Building Inspections and clearances	\$20,000 HCF & \$30,000 CDBG	Secured (see attached letter)
20% Minimum Loan/Grant Match	Loan/Grant requirement of applicant to insure private investment	\$140,000	Pending
Applicant private investment	Each loan/grant applicant project is expected to spur private investment that will at least match program investment	\$800,000 to \$2,000,000	Potential
City of New London	Economic Incentives	\$250,000	Potential (attached)
RCDA	In-kind assistance for program/applicant review and contractor selection	\$4,800	Secured

All loans and sub grants will include at least a 20% cost share commitment which will provide an additional \$140,000 in private investment to support remediation and revitalization efforts but is likely to exceed this with the extensive work needed at many of the properties. The loans may be combined with grants to provide the gap assistance necessary for larger projects.

The City is committed to support economic revitalization with a variety of incentive programs to assist new and existing businesses and local property owners. To accomplish this, the programs offer tax exemptions for the improvements, and low interest loans and grants for building façades, storefront signs and building improvements. RCDA staff, with extensive brownfield experience will support oversight of program design, applicant and contract review.

3. Community Engagement and Partnerships

3.a. Engaging the Community

The City and its partners will effectively engage and inform property owners, residents and other stakeholders and interested parties through meetings, and a multi-media campaign that extends the current community engagement activities being completed through the EPA FY2014 and the previous FY2009 Grant. The campaign will consist of signs located on properties being assessed and remediated; Web postings; neighborhood flyers; appearances on a local cable TV program, Science and Technology Magnet School project engagement, and engaging existing Brownfields owners and prospective developers. Project specific community outreach meetings will provide for neighborhood question and answer sessions, and a public comment period will promote input on decisions or concerns affecting community health and safety. When notifying the public of opportunities to get involved and of upcoming meetings, advertisements will be in English as well as Spanish, New London's most-spoken second language. The local newspaper, *The Day*, which has already issued positive informative piece on assessment work on Fort Trumbull Development Area properties completed under the Grant, will continue with press releases on project progress. City staff will always be available to address community needs.

3.b. Partnerships with Government Agencies

3.b.i. Local/State/Tribal Environmental Authority

The Connecticut Department of Energy and Environment (CTDEEP) will be closely involved in the RLF grant. Assessed and remediated sites under a Brownfields program will be required to enter into a Connecticut Voluntary Remediation Program and ultimately comply with the Remediation Standard Regulations (RSRs) – Regulations of Connecticut State Agencies (RCSA) Section 22a-133k-1 through 22a-133k-3. Recipients and the City contracted Environmental Professional will be responsible for working within the regulations and for working with the CTDEEP to ensure all requirements are met.

The Connecticut Department of Economic and Community Development (DECD) continue to support, promote and administer Brownfields Initiatives in Connecticut. Since 2012 the State has invested \$191 million in 160 projects to remediate and redevelop contaminated sites in 31 cities and towns across the State. The City capitalized on its recent success with EPA Brownfields Assessment and its significant economic needs to leverage DECD Brownfields funding in 2014 and completed brownfields assessments and hazardous building surveys in 2016. DECD will serve as a continued source of information and direct support to property owners and interested developers of Brownfields in New London. We plan on applying for more DECD Brownfields funding to support our program and the New London Community.

Brownfields projects may also be eligible for other state and federal financial incentives such as historic tax credits, urban renewal tax credits and other DECD administered programs. DECD staff can help work with municipalities and developers to identify all relevant programs for which a project might be eligible. Several New London businesses have already committed to take advantage of the State of Connecticut Commercial & Industrial Property Assessed Clean Energy Program (C-PACE) to provide working capital to finance upgrades and lower energy costs, create jobs, and reduce greenhouse gases.

3.b.ii. Other Governmental Partnerships

As the EPA continues to provide grants to municipalities for the assessment and cleanup of brownfields properties, the City will continue to compete for and take advantage of this great resource. Our partnership with the EPA in this ongoing and successful brownfields program will result in a cleaner environment to promote reuse of vacant and underutilized buildings and ultimately the revitalization to the most distressed community in the State of Connecticut.

The Renaissance City Development Corporation (RCDA) is a not-for-profit community development corporation committed to promoting and improving the economic health and quality of life in New London. As the City's agent for public investment the partnership has had great success in advancing economic development opportunities and projects with the EPA Brownfield Program as a catalyst and will continue as a partner to leverage state and federal funds to assess and cleanup sites. Experienced RCDA staff has committed time to help plan program parameters and select applicants, and in the contractor selection process.

The Southeastern Connecticut Council of Governments (SCCOG) is a public agency with representatives from twenty towns, cities (including New London) and boroughs formed to provide a basis for intergovernmental cooperation in dealing with a wide range of issues facing Southeastern Connecticut. The identification and remediation of brownfields to foster redevelopment is in keeping with the goals for the Council of Governments Regional Plan of Conservation and Development.

Southeastern Connecticut Enterprise Region (seCTer) is the Economic Development District for the region, and is responsible for submitting the Comprehensive Economic Development Strategy to the federal Economic Development Administration (EDA). The State of CT and EDA have both approved the CEDS as well as its goals and projects for the region. The environmental remediation and re-use of target areas in New London is a priority for the region and falls under more than one of the CEDS goals for sustainable economic development. SeCTer is an experienced small business lender and will partner with the City to support further private investment. SCCOG and seCTer will continue to support the City's brownfields efforts with education, promotion and marketing to its members, the local community, and throughout the Region.

As one of the largest regional public health agencies in Connecticut, Ledge Light Health District (LLHD) serves as the City of New London's local health agency. The City has established a solid partnership with LLHD on previous efforts including addressing lead hazards in residential structures, in addition to the licensing and regulatory activities as required by Connecticut General Statutes, the Connecticut Public Health Code and local regulations. LLHD is well versed in garnering support for public health endeavors and is a proven leader in coalition building. As such, the City will work with LLHD, who will provide some regulatory oversight during cleanup activities, as well as serve as a community engagement partner with special focus on site selection criteria and environmental health communications at meetings (Attachment#11).

The ODP continues to work with HUD through CDBG programming, the Lead Hazard Reduction Program, and the Neighborhood Stabilization Program. ODP is also working with Connecticut Department of Agriculture on a Farm to City Hub System grant, and with CT DEEP for grant funding that facilitates the establishment of community gardens.

The Connecticut Trust for Historic Preservation awarded a grant to the City to inspect and evaluate three historic buildings in downtown for their potential for adaptive re-use. This program is being used as a prototype to assist current and prospective owners with the methods and means to adaptively re-use their buildings.

3.c. Partnerships with Community Organizations

3.c.i. Community Organization Descriptions & Roles

The Office of Development and Planning has been instrumental in creating a unified strategy to address opportunities and impacts to improve the downtown and surrounding community. Key partners include the City Center District (CCD), which is a business improvement district organization of 186 member property owners. The CCD will support the brownfields program by encouraging private commitment to physical, social and infrastructure improvements to its members, and to work with the City to maximize the usefulness of available public funds by consolidating and coordinating private efforts. The Community Health Center (CHC) is a leading independent, non-profit, healthcare provider offering a full spectrum of services with a special commitment to the uninsured and underserved populations. The CHC will continue to host community outreach meetings, and communicate the project, and program benefits to the community.

New London Main Street is a nonprofit committed to revitalizing New London's historic downtown district and will collaborate with the city brownfield program to preserve and enhance historic downtown and to expand the economic base for the city center. New London Landmark's mission is to promote the preservation and development of the urban environment of New London and will provide the program with proper direction in maintaining the historic integrity of the targeted districts. These partnerships demonstrate the commitment to maximize the coordination and consolidation of private and public efforts to revitalize the community.

3.c.ii. Letters of Commitment

Letters are included as attachments from SECOGG, seCTer, CCD, LLHD, RCDA, City of NL

3.d. Partnerships with Workforce Development Programs

The demand for workers is supported by strong alliances between the business community, workforce development boards, the Technical School System including the Science, Technical, Environmental and Science (STEM) High School, and nonprofits in the region. Students are now being trained in green technologies. EWIB, CT Green Bank, and CT Energy Efficiency developed the nation's first green construction learning laboratories at the State Technical Schools

4. Project Benefits

4.a. Welfare, Environmental, and Public Health Benefits

The proposed cleanup program is designed to remediate sites by safely cleaning up and removing hazardous substances from an aged stock of buildings and property. Targeted sites for implementation of the EPA RLF grant program will be located in the Downtown Central Business District, also designated as a National Register Historic District. Development projects along this waterfront and industrial area would reduce expansive blight conditions accessible to bordering low income residences. The remediation of Brownfield sites in the City will eliminate health threats from direct contact and dust inhalation exposures, as well as potential vapor intrusion issues. Storm water discharge quality would also be expected to improve as storm surfaces and conduits are remediated, improving water quality in the coves and river along New London's shoreline. An added benefit to renovating abandoned spaces is that it allows a balance between developed space and open space. This project will affect the health and welfare of the community through the development of critical parcels for the purposes not only for economic development, but also for safe open space, and giving residents a clean environment in which to exercise, socialize, and enjoy New London's natural and historic resources.

One of the goals of the redevelopment of the Central Business District is to maximize public access to the waterfront and, in doing so, encourage uses which are water dependent or which will be enhanced by water access and views. Achieving this vision would certainly represent a quality of life improvement for area residents.

4.b. Economic and Community Benefits

Throughout the U.S. there is currently a major shift in population centers whereby Millennials and young professionals are choosing to live in apartments nearer to city center districts. This is explicitly evident in New London in which **new apartment developments are proposed on three separate and remediated brownfield sites**, all located in the central business district just blocks away from each other and from New London's multi-modal transportation center. This trend toward moving back to New London's city center has its roots in the City of New London's efforts to follow through with sustainable development principles and to effectively encourage neighboring communities with brownfield sites to participate in the process of brownfield redevelopment. The efforts help communities understand how different development strategies can provide economic and quality of life benefits to their neighborhoods and to the city.

The importance of brownfields reuse and the recognition of the full range of economic benefits to the municipality and to the region have been identified as an important strategy in recently approved planning reports. The *2017 New London Plan of Conservation & Development* (POCD) recognizes economic development as a priority for New London's economic growth which will help create new and diverse employment opportunities, increase the city's tax base, and improve the quality of life throughout the city. To this end an objective of the POCD's Strategic Plan stresses the importance of continuing "concerted efforts to clean up contaminated brownfield sites prioritizing those adjacent to inland and coastal water resources." Additionally, the *2017 Comprehensive Economic Development Strategy for Southeastern Connecticut* (CEDs) prepared by the Southeastern Connecticut Enterprise Region (seCTer) identifies "the redevelopment of existing infrastructure and resources, including, but not limited to brownfields and historic places" as a significant standard and criteria to support and encourage the Principles of Smart Growth as defined by CT Public Act 09-230.

Statewide, regional and City-endorsed planning efforts, e.g. the CEDs and POCD, have been instrumental in their support for increased residential development in downtown New London and in the Fort Trumbull area. In addition, the *2017 New London Downtown Transportation and Parking Study* commissioned with the purpose of recommending transportation improvements "needed in order to realize the true potential of a vibrant downtown that fosters a culture of growth and innovation, and becomes a place where a diversity of people choose to live, work, and play" listed as one of three objectives:

To identify transportation improvements that are context-sensitive, multimodal, and improve safety; that support the economic development of the downtown; that support New London as a unique and important place to Southeast CT and the larger region; and that support other broad goals including sustainability and livability.

Traffic pattern changes already instituted have been positively received by business owners and residents noting that the main street is safer, quieter, and more pleasant.

Perhaps, the most impressive redevelopment project that exemplifies the principles of Equitable Development is the City Flats project. Started in 2014 and located in an Incentive Housing Zone Overlay District, City Flats is an ongoing privately funded downtown area neighborhood redevelopment project that has totally renovated eight historically relevant multifamily residential properties into 29 energy efficient affordable condominiums. Utilizing State historic tax credits and municipal Enterprise Zone property tax abatements, the project has sold all but four units. More properties are in the pipeline and the City Flats developer is excited about the opportunities a Brownfields RLF program may provide for the renovation of any acquired vacant properties.

The City's continued success in brownfields assessment and remediation, coupled with city programs and collaboration with community partners will ensure ongoing efforts in accomplishing our goals of increasing economic development opportunities and creating more

sustainable communities. Project clean-up planning will be geared toward future uses as outlined in City and Regional planning documents and the community outreach process.

The EPA Brownfields program will provide the critical cleanup funds necessary to **leverage private tax generating development** that will ultimately result in numerous economic benefits including an expanded tax base, increased jobs for residents, and enhanced property values for affected properties and the nearby socially diverse residential neighborhoods. The RLF funds will be another incentive in an array of programs offered by the city to spur redevelopment and retain and attract new business opportunities in the community.

For example, the City of New London in partnership with the city's local development corporation, Renaissance City Development Association (RCDA), has had great success in advancing economic development opportunities and projects with the Federal EPA Brownfield Programs as a catalyst. The most significant example is the recent completion of a \$400,000 EPA clean-up grant for Parcels 5C 1&2 on Howard Street. The federal funds were key to the City and RCDA being able to leverage a \$30 million, market rate, residential project. The residential project will provide sorely needed housing infrastructure, to support the growth of the General Dynamics workforce who are responsible for the design and construction of the next generation of our submarine fleet. The City and RCDA have also used federal funds to complete assessment work on various parcels in the Fort Trumbull area, moving them closer to being shovel ready. In one instance, federal funds for assessment facilitated an application to the State of Connecticut Brownfield Program for a \$438,000 clean up grant. One of the primary goals of the City and RCDA partnership is to continue to leverage state and federal brownfield funds to assess and clean-up sites, remove threats to the public health and welfare and return them to productive use.

In addition to the number of jobs created during the remediation and construction phases of brownfields redevelopment, the City of New London is preparing methods to identify newly created jobs, measure the share of all jobs that go to the unemployed or underemployed, and analyze the stability of jobs over time. Spin-off employment impact in the surrounding neighborhoods, and wider neighborhood development impacts will also be analyzed as redevelopment is completed.

In order to optimize job creation with direct and indirect impacts upon the local community the City of New London has learned from prior projects how an effective campaign to involve the local community in the planning decisions related to the use of brownfield funds can result in more jobs for local residents and more business for local businesses. The City views this RLF program as an opportunity to create a tax credit incentive program based upon the number of jobs created at approved program sites.

5. Programmatic Capability and Past Performance

5.a. Audit Findings

The City of New London has never had an adverse audit finding from an OMB Circular A-133 audit, or any other audit conducted. The City has never been required to comply with special "high risk" terms and conditions under agency regulations implementing OMB Circular A-102.

5.b. Programmatic Capability

The Office of Development and Planning (ODP), along with the City's Purchasing Agent and Finance Department, is in place with the expertise and experience to manage programs, employees, contracts, contractors, vendors, grants, and revolving loan funds to successfully manage the EPA RLF Grant. Essentially, the same staff that has worked together on the previous FY 2009 and current FY14 EPA Assessment Grants and that provides management, loan eligibility and loan facilitation, accounting and reporting oversight on several ODP managed grant programs will continue to support this new RLF Grant. The City will continue to rely on its own Director of Law for legal support. Marketing and promoting the program will be through in-house staff working with various partners mentioned in community engagement.

A Qualified Environmental Professional Contractor will be hired for direct clean up activity oversight. ODP currently manages five grants totaling \$4.6M, including HUD funded CDBG, a EPA Brownfields Assessment Grant, co-manages an EPA clean up grant, a State Historic Preservation Grant, and State Community Gardens and Neighborhood Stabilization grants. The HUD Lead Hazard Reduction Grant was also refunded for the fifth time in 2013, for \$2M, and was closed in April of 2017.

Tom Bombria, The Community Development Coordinator who served as the recent Assessment Grant Project Manager will continue to function as the RLF Program Manager. Mr. Bombria will serve as the primary point of contact for the EPA, and will oversee staff functions, cleanup efforts, and the selected contractor. He will prepare all quarterly reporting and grant fund management and disbursements. He will also assist in the preparation and delivery of the community outreach presentations and marketing the program to the targeted community. Mr. Bombria has over 17 years of experience administering various grants for New London.

Ned Hammond, the City's Economic Development Coordinator (EDC), will provide information with regard to site prioritization and selection, provide city-wide institutional knowledge of sites and their owners and serve as the main point of contact for interested developer inquiries. Mr. Hammond has served as the EDC and as principal coordinator for urban renewal properties since 1997. Both Tom Bombria and Ned Hammond are equally familiar with the USEPA Grant program and each could perform the duties required to oversee the grant in the event of an absence.

The City's Loan Specialist for 18 years, Judi Cox, will provide the financial and technical assistance necessary to process all loan and grant applications. The Loan Specialist will examine proposals to determine eligibility and establish loan amounts and terms based on the applicant's qualifications and nature of each project. She will assess all applicants' financial worth and projected need to establish project feasibility by calculating credit worthiness, debt to income ratios, and the amount of equity in a project to determine responsible proposals for approval or rejection. Ms. Cox will forward all qualified applications and loan terms to the RFL Program Manager for review and approval by the City Business Revolving Loan Fund Committee. To protect the RFL investment Ms. Cox will work with the City's legal counsel to insure that all funds loaned and/or granted will have appropriate liens placed on the remediated properties.

ODP staff including the Administrative Accounting Technician, will support the Brownfields program by providing disbursement and drawdown services. The City, through its Purchasing Agent, will ensure the contracted portion of the project is competitively bid as required under the grant. The City Housing Rehab Coordinator and Lead Program Manager, is a Licensed State Lead Abatement Inspector and Risk Assessor and Lead Planner and will provide technical expertise as needed.

5.c. Measuring Environmental Results: Anticipated Outputs and Outcomes

The Program Manager will also be responsible for completing quarterly reports and ensuring the project schedule and goals are being met in accordance with the agreement and entering site cleanup information into the USEPA ACRES database. An output tracking spreadsheet report will be created and charted on a monthly basis throughout the grant period. It will focus on project milestones including contract bidding and awards, and inputting contacts and meetings with Brownfields development prospects and Brownfields property owners. This output report will be expanded to an annual report that summarizes the Brownfields process from inventory to developed site while tracking the number of sites assessed, remediated, and developed under the program, as well as, the impact on the tax base and the number of jobs created.

A Lower employment rate, the number of new construction permits, fewer vacant properties and increased real estate values, and cleaner open space are will be indicative of a healthier community. Adaptive reuse of commercial mixed use space will result in fewer empty storefronts, new apartments and rental income that will support further private investment.

5.d. Past Performance and Accomplishments

5.d.i. Currently or Has Ever Received an EPA BF Grant

5.d.i.1. Accomplishments

The FY09 and FY14 Assessment Grant projects included robust community outreach, the development of a Brownfields Inventory, and several site assessments. The FY09 grant completed soil assessments and remediation plans for 5.3 acres in the MDP that border low and moderate income residential properties included extensive Phase II/III Field Investigation and Remedial Action Plan for Parcel C1 and C2 on Howard St., owned by the Renaissance City Development Association (RCDA), and limited field Investigation on the third parcel, Parcel J, owned by the City.

Successful Assessment work at these parcels opened up the opportunity for parcel owners, RCDA, in partnership with the City of New London's Brownfields Program, to be awarded two FY13 EPA Cleanup grants totaling \$400,000. Cleanup implementation resulted in the RCDA and the City being able to leverage a \$30,000 million market rate residential housing project for these long vacant brownfield sites. Construction is set to begin in 2018.

The FY14 USEPA Assessment Grant has been used to continue to refine its Brownfields Data base and to work with the community to prioritize sites. Progress was made by updating Phase I's on vacant properties necessary for a land swap that benefited both parties allowing for important reuse and much needed development. Assessment and remedial action plans completed at the last Ft. Trumbull property needing brownfield investigation contributed to a recent CT DECD Brownfields Clean Up Grant application. Interest for redevelopment is great and so the potential for this strong application to be successful and the RCDA and the City to bring private investment to this area is likely.

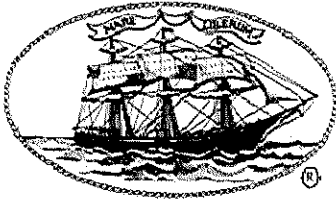
The City of New London through the Office of Development & Planning has also managed federal and state Brownfields assessment grants to bring two key properties closer to full development. The 2.5 acre Parcel J has a development agreement to build mixed-use residential and commercial buildings. Plans are being developed for city approval necessary for contract signing and transfer. In addition, nationally recognized Winn Development of Boston has an option to purchase the 90,000 square feet. Faria Mill property with plans to convert it to affordable housing.

5.d.i.2. Compliance with Grant Requirements

The City completed the \$200,000 FY2009 USEPA City-Wide Brownfields Assessment Grant with all funds drawn down by October of 2012. The grant implementation was conducted in compliance with the reporting work plan, and scheduling requirements of the grant, other than an initial delay resulting in the EPA granting a three-month extension. All proper submittals and reporting were completed and the grant fully completed all objectives and goals. The ACRES database was continually maintained and updated for the project.

In 2014, the City was awarded a USEPA Assessment Grant to continue to refine its Brownfields Data base and to work with the community to prioritize sites. Assessed sites were entered into ACRES, and FFR's, MBE/WBE, and Quarterly Progress Reports have been timely and complete. Grant period of performance was from 8/7/14 to 9/7/17 so this open grant is in the close out period. Contracted site assessments and Remedial Action Plans came in under budget right before the end of the performance period leaving no time to initiate another site for assessment work, thereby leaving \$26,571 or 13% of funds unexpended. The City of New London complied with all EPA requirements and is satisfied with the progress made due to the support of the EPA in getting vacant and underutilized properties back into productive use.

In 2016, the City also completed a Connecticut Municipal Brownfields Assessment Grant with the successful assessment of selected sites. Compliance with all requirements were met and so successful implementation of a FY18 EPA RLP would certainly help the City leverage more Connecticut brownfields funds to help meet its goals for putting vacant and underutilized buildings in this most distressed city into productive use.



City of New London Office of the Mayor

181 State Street • New London, CT 06320 • Phone (860) 447-5201 • Fax (860) 447-7971

November 14, 2017

Frank Gardner
EPA Region 1
5 Post Office Square, Suite 100
Boston, MA 02109-3912
Phone: (617) 918-1278

Dear Mr. Gardner:

The City of New London has operated a successful Brownfields Program since 2009 and hopes to sustain these efforts by applying for a FY18 EPA Brownfields Revolving Loan Fund Grant for \$800,000.

The City of New London plans on meeting the minimum twenty percent Statutory Cost Share by committing in-kind services for allowable Programmatic Costs and a dedicated cash match totaling \$160,000 as approved by the City Council at their November 6th Public Meeting.

In kind services by City Staff will provide Cooperative Agreement Oversight, Community Outreach and Marketing, and setting up and managing loans and sub grants, with a total value of \$110,000 for the 5 year grant period, as outlined in the Program description section of the grant application. A \$50,000 cash match will contribute to eligible travel costs and supplies, and for additional hazardous building materials inspections and clearances as needed. This cash match will come from an Office of Development and Planning managed Housing Conservation Fund (\$20,000), and from dedicated grant match funds from the Community Development Block Grant (\$30,000).

The City of New London appreciates the ongoing partnership with the EPA and the opportunities it provides in meeting its own goals of removing brownfields environmental hazards to leverage private investment and spur economic development that is crucial to the City.

If you have any questions, please feel free to contact me at (860) 447-5201.

Sincerely,

Michael E. Passero
Mayor, City of New London

TB:lb



South Eastern Connecticut Enterprise Region

*19B Thames Street • Groton, Connecticut 06340
Telephone: 860-437-4659
Facsimile: 860-437-4662 • E-mail: secter@secter.org*

November 8, 2017

Thomas Bombria
Community Development Coordinator
Office of Development and Planning
City of New London
181 State Street
New London, CT 06320

RE: City of New London Brownfields Revolving Loan Fund (RLF) Grant Application

Dear Mr. Bombria:

Southeastern Connecticut Enterprise Region (seCTer) is pleased to support the City of New London's application for the EPA Brownfields Revolving Loan Fund Grant. New London has many vacant and underutilized properties located in the downtown district that impact surrounding property values and diminish the overall vitality of the downtown area. Assisting property owners with the costly remediation of these aged brownfield sites is crucial to successful redevelopment and subsequent growth of the City's tax base.

seCTer is the Economic Development Organization (EDO) for the region's Economic Development District (EDD), and is responsible for submitting and deploying the region's CEDS (Comprehensive Economic Development Strategy). The new CEDS was approved by the federal Economic Development Administration (EDA) and the state's Office of Policy Management (OPM) and Department of Economic and Community Development (DECD) in 2017. The new CEDS also aligns with the region's Council of Government's Regional Plan of Conservation and Development. The environmental remediation and re-use of target areas in New London supports a very specific priority for the region under the CEDS:

- **Broad Goal 4**
Ensure every resident, existing and prospective business owner, employee, and visitor to SECT palpably feels our Quality of Life tied to a strong Sense of Place, by recognizing, strengthening, and promoting our enviable regional assets, such that civic pride takes firm root.

- **Objective 4A**
Enhance the quality of life in our communities by ensuring adequate housing, and access to services and support for individuals, families, and businesses living and operating in the region.
- **Action 4A(1)**
Pursue Brownfield re-development and other private and public funding (e.g. IF) to re-develop neglected and under-utilized buildings (particularly in downtowns) as mixed-use developments.

seCTer is the lead regional economic development resource, stimulating growth and prosperity in Southeastern Connecticut. Our mission is to make southeastern Connecticut stronger and more vibrant by 2030. seCTer is also an experienced small business lender and would be happy to lend our expertise towards helping you set up this program, and even partner on projects when appropriate.

We are very supportive of continuing efforts by the City of New London to revitalize its downtown especially now as you prepare for the proposed National Coast Guard Museum; welcome thousands of new employees due to hiring at Electric Boat, which specifically requires a focus on housing and quality of life; and, continue to engage in exciting initiatives such as the Thames River Heritage Park and water taxi, and the Thames River Innovation Partners entrepreneurship program. Any effort to enable investment that could lead to the restoration of the aged vacant and underutilized buildings downtown will help maximize the economic benefit of these projects and have a positive impact on the City's tax base.

Thank you for the opportunity to support this grant application. We look forward to helping this important project achieve its benchmarks.

Sincerely,

A handwritten signature in black ink, appearing to read "Nancy Cowser". The signature is fluid and cursive, with the first name "Nancy" written in a larger, more prominent script than the last name "Cowser".

Nancy Cowser
Executive Director

SOUTHEASTERN CONNECTICUT COUNCIL OF GOVERNMENTS

5 Connecticut Avenue, Norwich, Connecticut 06360
(860) 889-2324/Fax: (860) 889-1222/Email: office@seccog.org

13 November 2017

Thomas Bombria, Community Development Coordinator
City of New London, Office of Development and Planning
181 State Street
New London, CT 06320

Dear Mr. Bombria:

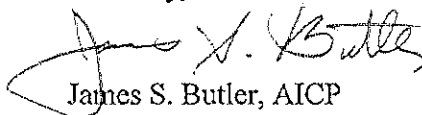
SUBJECT: City of New London Application for a Brownfields Revolving Loan Fund Grant

I am writing concerning the City of New London's application to the United States Environmental Protection Agency (USEPA) for grant funding under the Brownfields Revolving Loan Fund Grant program. The City will use these funds to capitalize a revolving loan fund to provide property owners loans and grants to assist in cleanup activities at brownfield sites in New London. The City plans on targeting downtown New London and Hodges Square Neighborhood properties that remain vacant or are underutilized.

The Southeastern Connecticut Council of Governments (SCCOG) has long encouraged and supported its municipalities in efforts to cleanup brownfield properties, allowing for their productive and safe reuse. The City of New London has been actively pursuing the cleanup of brownfields for some time now, and the capitalization of the proposed revolving loan fund will allow that successful effort to continue. On behalf of the SCCOG, please accept this letter of support for New London's application for grant funds.

Thank you for this opportunity to comment.

Sincerely,


James S. Butler, AICP
Executive Director

Cc: Mayor Michael Passero

Member Municipalities:

Bozrah * Colchester * East Lyme * Franklin * Griswold * Borough of Jewett City * City of Groton * Town of Groton * Lebanon * Ledyard * Lisbon * Montville * New London * North Stonington * Norwich * Preston * Salem * Sprague * Stonington * Stonington Borough * Waterford * Windham

Si necesita asistencia de language, por favor comuniquese a: 860-889-2324



Promoting
healthy
communities

November 15, 2017

Mayor Michael Passero
181 State St
New London, Connecticut 06320

Dear Mayor Passero,

I am writing on behalf of the City of New London's application to support their *Environmental Protection Agency Revolving Loan Fund Grant*. Ledge Light Health District is one of the largest regional public health agencies in the state and has years of experience promoting and assisting with public health endeavors such as this in New London.

Ledge Light Health District will offer our guidance concerning the Connecticut regulations of hazardous waste identification (lead and asbestos), removal and disposal to the City of New London, in their endeavor to promote the reuse of vacant and underutilized downtown buildings and brownfields. Our staff has extensive knowledge of downtown New London properties and working with said owners to enforce and encourage compliance with state environmental regulations.

Ledge Light Health District looks forward to working with the City of New London and sharing information as you move forward with the project.

Sincerely,

A handwritten signature in blue ink, appearing to read 'RJM', is written over a horizontal line.

Ryan McCammon, RS-REHS, HHS
Supervisor of Environmental Health
Ledge Light Health District

Cc: Tom Bombria, City of New London Community Development Coordinator
Stephen Mansfield, Ledge Light Health District (LLHD) Director of Health
Katie Baldwin, LLHD Supervisor of Regulated Facilities and Housing



November 9, 2017

Tom Bombria
Community Development Coordinator
Office of Development & Planning
181 State Street
New London, CT 06320

SUBJECT: EPA Brownfields Revolving Loan Fund Grant
City of New London, CT Grant Application

Dear Mr. Bombria:

On behalf of the New London City Center District (CCD), I am writing to express support for the City's application to USEPA for a Brownfields Revolving Loan Fund grant to provide opportunities to carry out cleanup activities at brownfield sites within New London. It is our understanding that the Brownfields RLF program may provide an opportunity for City Center District property owners to utilize program funds to safely clean up and remove hazardous substances from the many historic buildings and properties within the district. Many of the CCD properties are underutilized, in part, due to the costs associated with the assessment and remediation of environmental conditions. Such a program will provide much needed assistance to help fund the costly rehabilitation of these properties and help revitalize our community.

The City Center District is a special services district located in downtown New London and comprised of 186 member property owners. The purpose of the district is to enhance the environment in which people shop, live and work in the central business district; to demonstrate private commitment to the central business district through physical, economic and social improvements; and to work with the government of the City of New London to maximize the usefulness of available public funds by consolidating and coordinating private efforts to assist the City's downtown revitalization program.

The members of the City Center District stand ready to assist the Office of Development & Planning of the City of New London in helping to promote and foster this program to our member property owners. Please let me know, if I can provide any additional assistance concerning this important program.

Sincerely,

A handwritten signature in cursive script, appearing to read "George D. Dowker".

George Dowker
President



NEW LONDON'S DEVELOPMENT PARTNER

www.DevelopNewLondon.com

November 13, 2017

Mayor Michael Passero
181 State Street
New London, CT 06320

SUBJECT: City of New London; EPA Revolving Loan Fund Application

Dear Mayor Passero,

The Renaissance City Development Association (RCDA) is pleased to provide this letter of support for your application to EPA's Revolving Loan Fund Program. RCDA realizes the value of this program and the benefits it will provide as part of the City's overall economic development initiative.

A key component of our joint economic development plan, is continuing to foster effective partnerships between local, State and Federal agencies. The City of New London and RCDA have realized significant success through the aggressive leveraging of State and Federal Brownfield programs and returned many properties back to productive use. Most recently, our partnership with EPA facilitated a \$400,000 clean up grant, resulting in a \$30 million market rate residential project.

RCDA looks forward to working with the City and EPA on future projects. In this specific case, RCDA staff will provide in-kind program and application review assistance in the amount of \$4,800.

We must continue to move non-performing assets, burdened by the legacy of environmental impediments, towards productive use. Accordingly, EPA is a significant partner in this mission.

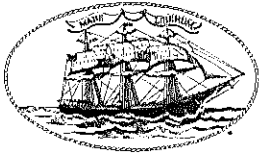
Sincerely,

Peter Davis

Peter Davis
Executive Director, RCDA

cc Thomas Bombria; Community Development Coordinator, City of New London

Renaissance City Development Association
216 Howard Street, New London, CT 06320 (860-447-8011)



**CITY OF NEW LONDON
CONNECTICUT
OFFICE OF DEVELOPMENT & PLANNING
Community Development Division**

**111 Union St.
New London, CT 06320
Tel (860) 437-6391
Fax (860) 437-4467**

**Responses to Threshold Criteria for City of New London EPA FY18 Brownfield's
Revolving Loan Fund Grant Application**

1. Applicant Eligibility: City of New London is the general purpose unit of local government. (for entities other than cities, counties, tribes, or states, please attach documentation of eligibility)
2. Description of Jurisdiction: The RLF Grant will be implemented within the city limits of The City of New London.
3. a. Oversight Structure: All sub recipients will be required to enroll in the State of Connecticut Voluntary Remediation Program. Clean up and remediation will follow the Connecticut Remediation Standard Regulations. A Qualified Licensed Environmental Professional/ Contractor (LEP) will be in place to assist the City of New London and the sub recipients in compliance responsibilities prior to the cleanup of any and all environmental hazards. The Environmental Contractor will be hired through proper competitive procurement provisions per 40 CFR 31.36 and the procurement provisions in place for the City of New London.

b. Legal Opinion: (separate attachment included with grant application)
4. Statutory Cost Share: The City of New London will provide \$160,000 cost share (20%) required of the \$800,000 EPA Grant request by an in kind match of City staff salaries that will be involved in the Cooperative Agreement oversight, Community Outreach, and the loan and sub grant management (\$110,000), and with a \$20,000 cash match from its Housing Conservation Fund and \$30,000 cash match from the Community Development Block Grant, as approved by City Council, for travel, supplies, and hazardous building survey and clearance (\$160,000 total). (Separate attachment on City commitment also included with grant application)



Equal Employment Opportunity/Affirmative Action Employer

CONWAY, LONDREGAN, SHEEHAN & MONACO, P.C.

ATTORNEYS AT LAW
38 HUNTINGTON STREET
P.O. BOX 1351
NEW LONDON, CONNECTICUT 06320

KEVIN W. CONWAY*
FRANCIS T. LONDREGAN (1935-2008)
THOMAS J. LONDREGAN
MICHAEL W. SHEEHAN
RALPH J. MONACO**
BRIAN K. ESTEP
JEFFREY T. LONDREGAN
ERIC J. GAROFANO
VICTORIA S. MUELLER

JEFFREY T. LONDREGAN
Email: jlondregan@clsmlaw.com

Phone: (860) 447-3171
Fax: (860) 444-6103
www.conwaylondregan.com

* Of Counsel
** Board Certified Trial Attorney

November 7, 2017

Tom Bombria
Office of Development & Planning
City of New London
111 Union Street
New London, CT 06320

Re: Legal Opinion for EPA Revolving Loan Grant

Dear Tom:

This letter is in response to your request, and the requirement of the EPA, for a legal opinion regarding the City's power and ability to manage a revolving loan fund and have certain access to properties which will be subject to loans under said program. For the following reasons, it is my opinion that the City has the necessary powers and authorities pursuant to Connecticut State Statutes:

1. Legal Authority To Access and Secure Sites

In the event that there was an emergency situation, default of a loan agreement, or non-performance under a sub-grant, the City would have the authority and power to access and secure subject sites where said emergency, default or non-performance existed. General Statute §7-148(c)(7)(E) gives the City the power to abate all things detrimental to the health and safety of the City's inhabitants. Moreover, General Statute §7-148(c)(1)(A) gives the City the power to contract and be contracted with. As such, the City will have the ability to write into any contract, agreement, and/or mortgage with the owner of property receiving funding under the revolving loan fund the right to access and secure the subject property in the event of any emergency, default or non-performance.

2. Legal Authority To Perform Necessary Actions To Manage Revolving Loan Fund

Pursuant to Connecticut law, the City has the necessary authorities and powers to manage a revolving loan fund. The applicable state statutes are as follows:

§7-148(c)(2)(K) – gives the City the power to create special fund accounts that do not lapse at the end of a fiscal year, but rather continue on.

§7-148(c)(3)(B) – gives the City the authority to provide for the proper administration of any grants accepted and received by the municipality.

§7-148(c)(1)(A) – gives the City the power to contract and be contracted with.

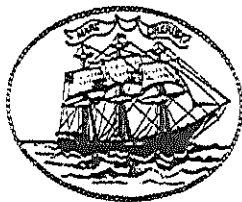
These state statutes provide the City with the ability to: (a) hold funds under any revolving loan grant that it receives; (b) make loans to private property owners out of said monies and enter into loan agreements; and (c) establish the proper procedure for collecting repayments from the private property owners and recipients of said monies.

For the foregoing reasons, it is my opinion that the City has the legal powers and authorities in place under Connecticut law to establish the proper oversight structure for this revolving loan program and to manage the fund accordingly.

Very truly yours,
Conway, Londregan, Sheehan & Monaco, P.C.



Jeffrey T. Londregan, Esq.



CITY OF NEW LONDON
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Economic Development Coordinator

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Incentive Programs and Resources

FACADE IMPROVEMENT PROGRAMS

1. Building Facade Improvement Program. Provides grants up to a maximum of \$50,000 per single principal building fronting on a City street located in the downtown program area.
2. Downtown Sign Improvement Program. Provides grants up to \$1,999 to first and second floor storefront business owners for business signs, and for property owners for building identification signs. **Sign applications must be approved prior to ordering the sign.**

REVOLVING LOAN FUND PROGRAMS

1. Business Revolving Loan Fund. This program provides low interest loans for small and medium size businesses located within, or considering locating within the New London Enterprise Zone (EZ). The maximum loan amount is generally \$25,000 with interest rates from 3% to 6% over a maximum term of 6 years.
2. Commercial and Mixed Use Building Rehabilitation Program. This program provides financial assistance to owners of commercial properties located within the Enterprise Zone who plan substantial building renovations. Loans range from \$10,000 to \$50,000 with an interest rate as low as 4% over a maximum term of 6 years.

ENTERPRISE ZONE PROGRAMS

A. This State of Connecticut Dept. of Economic and Community Development monitored program provides tax incentives for manufacturers and certain commercial sector businesses locating within the enterprise zone. Some of those incentives and benefits are:

- a five-year, 80% exemption of local property taxes on qualifying real and personal property.
- a ten-year, 25% credit on the State's corporate business tax for eligible businesses.
- an exemption from state real estate conveyance taxes.

B. The local New London EZ program offers property owners undertaking improvements on commercial and residential structures a **seven year graduated tax exemption of the increased taxes resulting from real property improvements**. All new construction projects are considered as improvements under this program.

CITY AND TOWN DEVELOPMENT ACT

A special tax exemption program available for major capital improvement projects that create long-term, tax-based growth. This program may not be available for projects eligible for Enterprise Zone programs. City Council approval is required.

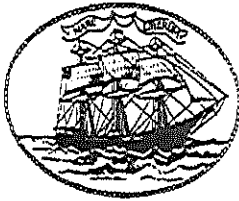
FOREIGN TRADE ZONE

Located adjacent to the downtown area is New London's Foreign Trade Zone, a designated area which, for Customs tariff purposes, is considered outside the U.S. Nearly any imported merchandise can be brought into a Zone for almost any kind of manipulation, duty-free.

HUBZone Program

The Historically Underutilized Business Zones (HUBZone) program helps small businesses in urban and rural communities gain preferential access to federal procurement opportunities. The program falls under the auspices of the U.S. Small Business Administration.

For more details about any of these programs contact: Ned Hammond, Economic Development Coordinator at (860)-437-6309 or by email at nhammond@ci.new-london.ct.us.



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Local, Regional, and State Incentive Programs

Building Rehabilitation Programs

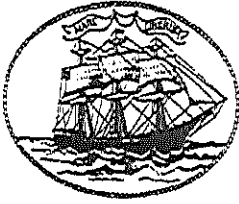
- **City of New London Housing Conservation Program (HCP)** – 3% interest rehabilitation loans up to \$10,000 per unit available to homeowner occupants of 1-4 family units. Owner must occupy rehabilitated property for the life of the loan. 51% of the units must be income qualified. Online [HCP information](#).
- **CT Historic Rehabilitation Tax Credit Program** – This program through the Connecticut Department Economic & Community Development establishes a 25% tax credit on the Qualified Rehabilitation Expenditures associated with the rehabilitation of a Certified Historic Structure for either 1) residential use of five units or more, 2) mixed residential and nonresidential use or 3) nonresidential use consistent with the historic character of such property or the district in which such property is located. An additional credit is available for projects that include affordable housing as provided in section 8-39a of the general statutes. <http://www.cultureandtourism.org/cct/cwp/view.asp?a=3933&q=430786>
- **Federal Historic Preservation Tax Incentive** – This program provides a 20% tax credit for the certified rehabilitation of certified historic structures listed on the National Register of Historic Places prior to claiming the tax incentive. For further information go to <http://www.cultureandtourism.org/cct/cwp/view.asp?a=3933&q=302266>
- **Historic Preservation Grants, Loans, and Assistance** – The Connecticut Trust for Historic Preservation provides technical assistance, financial assistance, workshops, publications and advocacy in preservation matters and issues. If you are considering investing in an historic property be sure to check with the Connecticut Trust for advice and assistance.

Energy Conservation Programs

- **Commercial Property Assessed Clean Energy (C-PACE)** is an innovative program that is helping commercial, industrial, and multi-family property owners access affordable, long-term financing for smart energy upgrades to their buildings. The C-PACE program enables private sector lenders to provide upfront financing to property owners for qualified projects, and to collect the repayment through annual assessments on the property's real estate tax bill. The term of financing may extend up to 20 years, resulting in utility and other cost savings that exceed the amount of the assessment payment. <http://www.cpace.com/>
- **Energize Connecticut** can help you save energy in your Connecticut home or business with rebates, financing and services for energy efficiency and clean energy improvements. <http://www.energizect.com/>
- **Connecticut Green Bank** is a resource for clean energy financing information www.spark.ctgreenbank.com.
- **Project Best** an initiative of Operation Fuel provides small business owners with the resources that they need to manage their energy utilization. The program offers personalized assistance and financial incentives.

Financing & Business Assistance

- **Southeastern Connecticut Enterprise Region – seCTer**. SeCTer's various loan programs provide loans from \$10,000 - \$2 million and can be combined with loans provided by partners (banks, government entities, equity financing). The SeCTer loans are generally priced at lower than market fixed interest rates and have flexible terms and conditions. SeCTer is experienced in crafting financing strategy, working with the broader financial community and in assembling financing partners (local, state, and federal) as needed. Contact Ann Chambers at 860-437-4659 ext. 204. Website: [Southeastern Connecticut Enterprise Region](#).
- **Community Economic Development Fund (CEDF)** provides access to capital to start-up and existing businesses who cannot obtain financing elsewhere. Phone: 203-235-2333. Website www.cedf.com.
- **HEDCO Inc.** provides free business advisory services, low interest loans, and technical assistance. Contact HEDCO at phone number 860-527-1301 or at their website hedcoinc.com.
- **Connecticut Innovations** leading source of financing and ongoing support for innovative companies. Provides equity funds, grants, and tech support. Website Connecticut Innovations leading source of financing and ongoing support for innovative companies. Provides equity funds, grants, and support. Website <http://ctinnovations.com/>.



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- **Small Business Development Center (CTSBDC)** provides no-cost advising services to both prospective and existing business owners to help them start or expand their business. Phone: 855-428-7232. Website <http://ctsbdc.com/>.
- **Chamber of Commerce of Eastern Connecticut** a collaborative of business and community leaders dedicated to securing and enhancing the economic vitality of eastern Connecticut. Phone: 860-701-9113. Website <http://www.chamberect.com/>.
- **SCORE** provides business mentoring for start-up and existing businesses. Phone: 860-388-9508. Website www.score.org.

Department of Economic & Community Development (DECD).

DECD offers a variety of financial and technical assistance programs, grants, and tax incentives for businesses, particularly start-up and expanding businesses. For any business owner looking to locate, start up, or expand their business in Connecticut exploring the DECD website at <http://www.ct.gov/ecd/site/default.asp> or on Facebook at <https://www.facebook.com/CTDECD> is a "must do". Some of their significant programs are the Small Business Express Program (EXP) and various tax credit, tax exemptions and tax abatement (EZ) programs. Besides Business Development, DECD houses the Office of Culture and Tourism, the Office of the Arts, and the State Historic Preservation Office.

State of Connecticut
Department of Economic and Community Development
505 Hudson Street
Hartford, CT 06106-7106

Telephone Numbers:

DECD Central Office	860-270-8000
Office of Business Development	860-270-8036
Office of Small Business Affairs	860-270-8215
Office of Domestic/International Business Development	860-270-8013
Office of Brownfield Remediation and Redevelopment	860-270-8193
Office of Film/Digital Media	860-270-8198
Office of the Arts and Historic Preservation	860-256-2732
Office of Tourism	860-256-2765

Eastern Connecticut Workforce Investment Board (EWIB)

EWIB is a non-profit agency that oversees a network of workforce-related programs including job training, job seeker services, employer services, and manages the New London American Job Center located at the Department of Labor's office Shaw's Cove Six.

EWIB telephone: 860-859-4100

NL Job Center: 860-439-7400

Website: www.ewib.org

Application for Federal Assistance SF-424

* 1. Type of Submission:

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

* 2. Type of Application:

- ☒ New
☐ Continuation
☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

11/16/2017

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of New London

* b. Employer/Taxpayer Identification Number (EIN/TIN):

066001880

* c. Organizational DUNS:

6022560830000

d. Address:

* Street1:

181 State Street

Street2:

* City:

New London

County/Parish:

* State:

CT: Connecticut

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

06320-6302

e. Organizational Unit:

Department Name:

Community Developemtn

Division Name:

Development and Planning

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Mr.

* First Name:

Thomas

Middle Name:

* Last Name:

Bombria

Suffix:

Title:

Community Development & Lead Coordinator

Organizational Affiliation:

* Telephone Number:

(860) 437-6327

Fax Number:

(860) 447-7971

* Email:

tbombria@ci.new-london.ct.us

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

Environmental Protection Agency

11. Catalog of Federal Domestic Assistance Number:

66.818

CFDA Title:

Brownfields Assessment and Cleanup Cooperative Agreements

* 12. Funding Opportunity Number:

EPA-OLEM-OBLR-17-08

* Title:

FY18 GUIDELINES FOR BROWNFIELDS REVOLVING LOAN FUND GRANTS

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

City of New London Revolving Loan Fund program to cleanup brownfield sites contaminated with hazardous substances and/or petroleum.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:*** a. Applicant * b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:* a. Start Date: * b. End Date: **18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="800,000.00"/>
* b. Applicant	<input type="text" value="110,000.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="50,000.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="960,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on .
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title: * Telephone Number: Fax Number: * Email: * Signature of Authorized Representative: * Date Signed: